

COURSE / MODULE / BLOCK DETAILS

ACADEMIC YEAR / SEMESTER

Offered by: İşletme			
Course Title: CAPITAL MARKETS AND FINANCIAL INSTITUTIONS		Course Org. Title: CAPITAL MARKETS AND FINANCIAL INSTITUTIONS	
Course Level: Lisans		Course Code: FIN 4111	
Language of Instruction: İngilizce		Form Submitting/Renewal Date 21/09/2020	
Weekly Course Hours: 3		Course Coordinator: PROF.DR. PINAR EVRİM MANDACI	
Theory	Application	Laboratory	National Credit: 3
3	0	0	ECTS Credit: 5



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Offered to:	Course Status: Compulsory/Elective
Name of the Department:	
BUSINESS ADMINISTRATION	Elective Course



DOKUZ EYLUL UNIVERSITY



FACULTY OF BUSINESS ADMINISTRATION OFFICE OF THE DEAN

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Instructor/s:

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Course Objective:

The aim of the course is to give the students a thorough understanding of capital market institutions, entities and instruments.

Learning Outcomes:

- 1 Explain types of financial markets pointing out basic functions of capital markets. (Level 2).
- 2 Describe the functioning of Borsa Istanbul through identifying the indices, markets and instruments of it.
- 3 Distinguish between depository (including off-balance sheet activities) and non-depository institutions pointing
- 4 Clarify the characteristics of capital market entities including brokerage firms, mutual funds, and investment
- 5 Differentiate between equity, debt (fixed-income) instruments and other financial instruments such as assetbacked
- 6 Illustrate market efficiency, emerging markets, global diversification, market integration, manipulation and insider trading in order to demonstrate an understanding of the related concepts. (Level 2).

Learning and Teaching Strategies:

1. Lectures
2. Presentations

Assessment Methods:

Name	Code	Calculation formula
Assignment	ASS	
Final	FN	
BNS	BNS	$ASS * 040 + FN * 060$
Bütünleme Notu	BUT	
Bütünleme Sonu Başarı Notu	BBN	$ASS * 040 + BUT * 060$

Further Notes about Assessment Methods:



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Assessment Criteria:

1. The learner will define the types of financial markets, institutions and instruments.
2. The learner will clearly define the functions of Borsa Istanbul (markets, instruments, orders, indices and etc).
3. The learner will clearly distinguish between depository and non-depository institutions.
4. The learner will clearly define market efficiency, emerging markets, global diversification, market integration, manipulation and insider trading.
5. The learner will define the characteristics and activities of brokerage firms, mutual funds, and investment trusts.
6. The learner will differentiate between equity, debt (fixed-income) instruments and other financial instruments such as asset-backed securities and financial derivatives.

Textbook(s)/References/Materials:

Frank Fabozzi, Franco Modigliani, Frank J. Jones, Foundations of Financial Markets and Institutions, Fourth Edition, Prentice Hall 2014.

Capital Markets. Pınar Evrim Mandacı, Halit Soydan, Literatür Yayıncılık, 2002.

Jeff Madura, Financial Markets and Institutions, Fifth Edition, South-Western College Publishing, USA 2001.

Course Policies and Rules:

1. Attending at least 70 percent of the lectures is mandatory.
2. Violations of plagiarism of any kind will result in a disciplinary action.

Contact Details for the Instructor:

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Office Hours:

Course Outline:

Week	Topics:	Notes:
1	Introduction	
2	Overview of Market Participants	
3	Financial Innovation	
4	Depository Institutions	
5	Non-depository Institutions	
6	Investment Banking Firms	
7	Primary Markets	
8	Secondary Markets	
9	Equity Markets	
10	Debt Markets	
11	Futures Markets	
12	Options Markets	



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ECTS Table

Course Activities	Number	Duration (hour)	Total Work Load (hour)
In Class Activities			
Lectures	12	3	36

Exams

Final	1	3	3
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Out Class activities

Preparations before/after weekly lectures	10	1	10
Preparation for midterm exam	1	18	18
Preparation for final exam	1	18	18
Preparing assignments	1	20	20
Preparing presentations	1	8	8
Total Work Load (hour)			113
ECTS Credits of the Course= Total Work Load (hour) / 25			5